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## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner  
US Department of Commerce  
United States Patent and Trademark  
Office, PCT  
2011 South Clark Place Room  
CP2/5C24  
Arlington, VA 22202  
ETATS-UNIS D'AMERIQUE  
in its capacity as elected Office

Date of mailing (day/month/year) 03 January 2001 (03.01.01)	
International application No. PCT/US00/11610	Applicant's or agent's file reference RCA89520
International filing date (day/month/year) 28 April 2000 (28.04.00)	Priority date (day/month/year) 30 April 1999 (30.04.99)
Applicant CHEAH, Sin, Hui et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:

17 November 2000 (17.11.00)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was  
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Nestor Santesso
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

## INTERNATIONAL SEARCH REPORT

Application No

PCT/US 00/11610

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G11C7/16 G06F12/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G11C G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 570 147 A (OLIVETTI & CO SPA) 18 November 1993 (1993-11-18) page 6, column 30 -page 7, column 14 ---	1,6,7,9, 13
A	WO 97 33220 A (PHILIPS ELECTRONICS NV ;PHILIPS NORDEN AB (SE)) 12 September 1997 (1997-09-12) the whole document -----	1,6,7,9, 13

☐ Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

29 August 2000

Date of mailing of the international search report

08/09/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Czarik, D

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

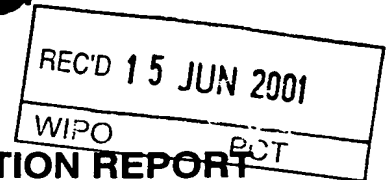
PCT/US 00/11610

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0570147 A	18-11-1993	IT 1256823 B	21-12-1995
		AU 3855993 A	18-11-1993
		BR 9301897 A	16-11-1993
		CA 2096062 A	15-11-1993
		JP 6051941 A	25-02-1994
		US 5481645 A	02-01-1996
WO 9733220 A	12-09-1997	AU 713458 B	02-12-1999
		AU 1454597 A	22-09-1997
		CN 1181825 A	13-05-1998
		EP 0834112 A	08-04-1998
		JP 11506227 T	02-06-1999

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT



(PCT Article 36 and Rule 70)



Applicant's or agent's file reference RCA89520	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US00/11610	International filing date (day/month/year) 28/04/2000	Priority date (day/month/year) 30/04/1999
International Patent Classification (IPC) or national classification and IPC G11C7/16		
Applicant THOMSON LICENSING S.A. et al.		

- This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
- This REPORT consists of a total of 5 sheets, including this cover sheet.  
  
☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  
  
 These annexes consist of a total of 3 sheets.

- This report contains indications relating to the following items:
  - I ☒ Basis of the report
  - II ☐ Priority
  - III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
  - IV ☐ Lack of unity of invention
  - V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
  - VI ☐ Certain documents cited
  - VII ☐ Certain defects in the international application
  - VIII ☐ Certain observations on the international application

Date of submission of the demand  17/11/2000	Date of completion of this report  13.06.2001
Name and mailing address of the international preliminary examining authority:   European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer  Sucher, R  Telephone No. +49 89 2399 2148  

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/11610

## I. Basis of the report

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

**Description, pages:**

1-12 as originally filed

**Claims, No.:**

1-9 as received on 28/05/2001

**Drawings, sheets:**

1/6-6/6 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/US00/11610

☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Statement

Novelty (N)	Yes:	Claims	4-9
	No:	Claims	1-3
Inventive step (IS)	Yes:	Claims	
	No:	Claims	4-9
Industrial applicability (IA)	Yes:	Claims	1-9
	No:	Claims	

2. Citations and explanations  
**see separate sheet**

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Claim 1 is not clear in the sense of Article 6 PCT because a "handheld" audio playback device is not defined. According to the description, it appears that a device that is "portable by hand" is meant.

When clarified in that sense, the subject-matter of claim 1 is not new in the sense of Article 33(2) PCT for the following reasons: a method for playing back an audio data file in a handheld audio playback device, the audio data file being encoded in accordance with a selected one of a plurality of encoding formats, comprising

- (a) identifying a selected audio data file in response to a user input,
- (b) identifying a decoder file associated with the selected audio data file, the decoder file comprising a program to control the decoding operations of a digital signal processor,
- (c) transferring the selected audio file and the associated decoder to the digital signal processor, wherein the audio data file and the associated decoder file are both stored in a single removable data storage device coupled to the handheld audio playback device,
- (d) decoding the selected audio data file in accordance with the decoder file in the digital signal processor, wherein the decoding is performed entirely within the digital signal processor, and
- (e) providing the decoded audio data file to an output device

is known from a portable personal computer acting as audio playback device which has an audio data file and an application program for playing the audio file stored on a disk. The audio file is selected by the user e.g. with a mouse in a file manager (step (a)), based on the file extension the associated application program is identified (step (b)), the audio file and the application program is loaded from the disk to the microprocessor (step (c)), which executes the application program by decoding the audio file (step (d)) and outputs the decoded audio data e.g. on a loudspeaker (step (e)).

2. Claim 4 is not clear in the sense of Article 6 PCT because it refers to "the output means" which are not defined. The claim further defines that the handheld audio



playback device comprises a digital signal processor (DSP) and a micro controller (uC), wherein the uC performs steps (a)-(c) and the DSP performs step (d). However, handheld audio devices comprising a uC and a DSP, wherein the DSP performs the computationally expensive signal processing algorithms, are also well known (each conventional GSM cell phone possesses such a structure). When implementing the known method according to claim 1 on a such a known device, the skilled person would therefore automatically arrive at the solution according to claim 4. The subject-matter of claim 4 does therefore not involve an inventive step in the sense of Article 33(3) PCT.

3. Claim 9 relates effectively to the same subject-matter as claim 7. Claims 7 and 9 differ from each other only with regard to the definition of the subject-matter for which protection is sought and therefore lack conciseness (Article 6 PCT). In view of par. 2 above, the subject-matter of claim 9 therefore also lacks an inventive step (Article 33(3) PCT).
4. None of the features defined in the dependent claims appear to go beyond what is known from conventional portable computers, phones, or handheld devices. The objections made to the independent claims above are therefore also valid for the claims dependent thereon.

# PATENT COOPERATION TREATY

EXPRESS EL90232170 US

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

TRIPOLI, J., SHEDD, R., ...  
THOMSON MULTIMEDIA LICENSING INC.  
P.O. Box 5312  
Princeton, New Jersey 08543-5312  
ETATS-UNIS D'AMERIQUE

PPK

JUN 21 2001  
PCT

## NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT (PCT Rule 71.1)

Date of mailing  
(day/month/year) 13.06.2001

Applicant's or agent's file reference  
RCA89520

### IMPORTANT NOTIFICATION

International application No. /  
PCT/US00/11610

International filing date (day/month/year)  
28/04/2000

Priority date (day/month/year)  
30/04/1999

Applicant  
THOMSON LICENSING S.A. et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Event	OA	1 PER Final Countries To Davida
Deadline	30 Aug 2001	
Entered	DPF 10/22/01	

Name and mailing address of the IPEA/



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Schießl, W-P


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# PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>RCA89520</b>		<b>FOR FURTHER ACTION</b>	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. <b>PCT/US00/11610</b>	International filing date (day/month/year) <b>28/04/2000</b>	Priority date (day/month/year) <b>30/04/1999</b>	
International Patent Classification (IPC) or national classification and IPC <b>G11C7/16</b>			
Applicant <b>THOMSON LICENSING S.A. et al.</b>			
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 5 sheets, including this cover sheet.</p> <p><input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of 3 sheets.</p>			
<p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li>I <input checked="" type="checkbox"/> Basis of the report</li> <li>II <input type="checkbox"/> Priority</li> <li>III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li>IV <input type="checkbox"/> Lack of unity of invention</li> <li>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li>VI <input type="checkbox"/> Certain documents cited</li> <li>VII <input type="checkbox"/> Certain defects in the international application</li> <li>VIII <input type="checkbox"/> Certain observations on the international application</li> </ul>			
Date of submission of the demand  <b>17/11/2000</b>		Date of completion of this report  <b>13.06.2001</b>	
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465		Authorized officer  <b>Sucher, R</b>  Telephone No. +49 89 2399 2148	



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/11610

## I. Basis of the report

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

### Description, pages:

1-12 as originally filed

### Claims, No.:

1-9 as received on 28/05/2001

### Drawings, sheets:

1/6-6/6 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/US00/11610

☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes:	Claims	4-9
	No:	Claims	1-3

Inventive step (IS)	Yes:	Claims	
	No:	Claims	4-9

Industrial applicability (IA)	Yes:	Claims	1-9
	No:	Claims	

- 2. Citations and explanations  
see separate sheet**

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Claim 1 is not clear in the sense of Article 6 PCT because a "handheld" audio playback device is not defined. According to the description, it appears that a device that is "portable by hand" is meant.

When clarified in that sense, the subject-matter of claim 1 is not new in the sense of Article 33(2) PCT for the following reasons: a method for playing back an audio data file in a handheld audio playback device, the audio data file being encoded in accordance with a selected one of a plurality of encoding formats, comprising

- (a) identifying a selected audio data file in response to a user input,
- (b) identifying a decoder file associated with the selected audio data file, the decoder file comprising a program to control the decoding operations of a digital signal processor,
- (c) transferring the selected audio file and the associated decoder to the digital signal processor, wherein the audio data file and the associated decoder file are both stored in a single removable data storage device coupled to the handheld audio playback device,
- (d) decoding the selected audio data file in accordance with the decoder file in the digital signal processor, wherein the decoding is performed entirely within the digital signal processor, and
- (e) providing the decoded audio data file to an output device

is known from a portable personal computer acting as audio playback device which has an audio data file and an application program for playing the audio file stored on a disk. The audio file is selected by the user e.g. with a mouse in a file manager (step (a)), based on the file extension the associated application program is identified (step (b)), the audio file and the application program is loaded from the disk to the microprocessor (step (c)), which executes the application program by decoding the audio file (step (d)) and outputs the decoded audio data e.g. on a loudspeaker (step (e)).

2. Claim 4 is not clear in the sense of Article 6 PCT because it refers to "the output means" which are not defined. The claim further defines that the handheld audio

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/US00/11610

playback device comprises a digital signal processor (DSP) and a micro controller (uC), wherein the uC performs steps (a)-(c) and the DSP performs step (d). However, handheld audio devices comprising a uC and a DSP, wherein the DSP performs the computationally expensive signal processing algorithms, are also well known (each conventional GSM cell phone possesses such a structure). When implementing the known method according to claim 1 on a such a known device, the skilled person would therefore automatically arrive at the solution according to claim 4. The subject-matter of claim 4 does therefore not involve an inventive step in the sense of Article 33(3) PCT.

3. Claim 9 relates effectively to the same subject-matter as claim 7. Claims 7 and 9 differ from each other only with regard to the definition of the subject-matter for which protection is sought and therefore lack conciseness (Article 6 PCT). In view of par. 2 above, the subject-matter of claim 9 therefore also lacks an inventive step (Article 33(3) PCT).
4. None of the features defined in the dependent claims appear to go beyond what is known from conventional portable computers, phones, or handheld devices. The objections made to the independent claims above are therefore also valid for the claims dependent thereon.

10 / 030766

13 531 Rec'd PCT/F. 22 OCT 2001

## CLAIMS

1. In a handheld audio playback device, a method for playing back an audio data file, the audio data file being encoded in accordance with a selected one of a plurality of encoding formats, the method comprising the steps of:

identifying a selected audio data file in response to a user input;

identifying a decoder file associated with the selected audio data file, the decoder file comprising a program to control the operations of a digital signal processor;

transferring the selected audio data file and the associated decoder file to the digital signal processor, wherein the audio data file and the associated decoder file are both stored in a single removable data storage device coupled to the handheld audio playback device;

decoding the selected audio data file in accordance with the decoder file in the digital signal processor, wherein the decoding is performed entirely within the digital signal processor; and

providing the decoded audio data file to an output device.

2. The method according to claim 1, further comprising the step of reading a configuration file that associates each one of a plurality of audio data files with a particular one of a plurality of decoder files, and the identifying the decoder file step comprises identifying the decoder file using the configuration file.



3. The method according to claim 2, wherein the removable data storage device is a solid state data storage device.

4. A handheld audio playback apparatus for playing back an  
5 audio data file encoded in accordance with a selected one of a plurality of encoding formats, the apparatus comprising:  
user input means for receiving user commands;  
data input means for receiving digital data;  
a digital signal processor;  
10 a micro-controller, coupled to the user input means, the data input means, the output means and the digital signal processor, for identifying a user selected audio data file and a decoder file associated with the user selected audio data file, the decoder file comprising a program for controlling the operation of the digital  
15 signal processor, and for transferring the user selected audio data file and the associated decoder file from a single removable data storage device to the digital signal processor in response to a user selection, the digital signal processor decoding the user selected audio data file in accordance with the associated decoder file,  
20 wherein the decoding is performed entirely within the digital signal processor.

5. The handheld audio playback apparatus according to claim 4, wherein the removable data storage device comprises a solid  
25 state data storage device coupled to the micro-controller.

6. A handheld audio playback system, comprising:

user input means for receiving user commands;

data input means for receiving digital data;

5 a removable data storage device coupled to the data input means;

a digital signal processor; and

a micro-controller, coupled to the user input means, the data input means, and the signal processor, for identifying a selected  
10 audio data file and an associated decoder file stored on the data storage device in response to a user input, the micro-controller transferring the selected audio data file and the associated decoder file to the digital signal processor, the digital signal processor decoding the selected audio data file in accordance  
15 with the associated decoder file, wherein the decoding is performed entirely within the digital signal processor.

7. The system according to claim 6, wherein the removable data storage device comprises a solid state data storage device.

20

8. The system according to claim 6, wherein the data storage device is a compactflash memory card.

9. The system according to claim 6, wherein the data storage  
25 device includes a configuration file for identifying a plurality of stored audio data files and the decoder file associated with each one of the plurality of stored audio data files.

## Report to Data Base

## PATENT OPERATIONS

10/030766

MAILING TO U.S. Patent and Trademark Office

Docket No. RCA 89520

Serial No.

Filed:

Patent No. \_\_\_\_\_  
Atty/Agent P.P. KIEL

ATTN: BOX PCT

Inventor(s) SIN HUI CHEAH; TIBOR CSICSATKA; ROBERT JAMES DICK SR.  
Title: MULTI-FORMAT PERSONAL DIGITAL AUDIO PLAYER☒ et al.

Enter Date		Enter Number		Check Type		Check Items Mailed with Application	
App. Mailed	005M	3	Independent Claims	X	US PCT NAT	X	Declaration
		0	Claims in Excess 20		Divisional		Statement under CFR § 1.56-013M
		3	Claim Pages		Continuation	X	Assignment & Recordation Sheet
	10/22/01	10 (12)	Specification Pages		CPA	X	090M Prelim. Amendment
		6	Sheets of Drawings		Reissue		Priority Document
		1	Abstract Pages		Re-Exam	X	013M IDS with 2 References
					US Provisional		Fee Transmittal
	\$930.00	Charge			Other PCT	X	Transmittal Ltr. To US Design. Office
Mailed							APPEALS
			008M After Rejection				032 Notice of Appeals
			012M After Final Rejection				037M Appeal Brief
			019M After Allowance U/R312				039M Reply Brief
			083M Supplemental				133M Pet. To Withdraw.
			090M Voluntary				REQUESTS
			079M Dwg. Correction(s)				099M Ext. Time \$1.136(b)
			Page(s) of Drawing(s)				076M Cert. Corr.
			OTHER				OTHER
			007M Lic. To For. File				027M Statement NASA
			126M Reg. Priority 35USC119				086M Term. Disclaimer
			131M Statement DOE				064M Claim Disclaimer
			013M Statement under §1.56				082M Status Letter
			013M IDS w/ references				084M Declaration
			Certificate of Mailing				084M Supp. Declaration
			Invitation to Correct				10/22/01
*Copy of Int'l Prel. Exam. Report							

EXPRESS MAIL EL 902321170US

Express Mail Application

Date Deposited: 10/22/01

Due

FEES

006M Filing Fee Exp. Mail

006M Filing Missing Parts

021 Issue Fee

009M Ext Time \$ 1.136(a)

099M Add. Payment of Fee

Fee Transmittal Form

Amount of Fee \$

Charge

OTHER

081M Appt. Atty/Agent

063M Asgn &amp; Rec. Form

066M Letter to PO

068M Notif. of For. Ref.

066M Corr. Of Record

xM Please Specify:

Recordation Fee

3033 Rec'd PCT/PTC 22 OCT 2001

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>RCA89520</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/US 00/ 11610</b>	International filing date (day/month/year) <b>28/04/2000</b>	(Earliest) Priority Date (day/month/year) <b>30/04/1999</b>
Applicant <b>THOMSON LICENSING S.A. et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 2 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

### 1. Basis of the report

a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of Invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☒ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

1  
☐ None of the figures.

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 00/11610

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G11C7/16 G06F12/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G11C G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 570 147 A (OLIVETTI & CO SPA) 18 November 1993 (1993-11-18) page 6, column 30 -page 7, column 14 ---	1,6,7,9, 13
A	WO 97 33220 A (PHILIPS ELECTRONICS NV ;PHILIPS NORDEN AB (SE)) 12 September 1997 (1997-09-12) the whole document -----	1,6,7,9, 13



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents :

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"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

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Date of the actual completion of the international search

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

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		JP 11506227 T	02-06-1999

## WHAT IS CLAIMED:

1. In a portable audio playback device, a method for playing back an audio data file, the audio data file being encoded in accordance with a selected one of a plurality of encoding formats, the method comprising the steps of:

identifying a selected audio data file in response to a user input;

identifying a decoder file associated with the selected audio data file;

transferring the selected audio data file and the associated decoder file to a digital signal processor;

decoding the selected audio data file in accordance with the decoder file in the digital signal processor; and

providing the decoded audio data file to an output device.

2. The method according to claim 1, further comprising the step of reading a configuration file that associates each one of a plurality of audio data files with a particular one of a plurality of decoder files, and the identifying the decoder file step comprises identifying the decoder file using the configuration file.

3. The method according to claim 1, wherein the transferring step comprises transferring the selected audio data file from a compact data storage device to the digital signal processor.

4. The method according to claim 3, wherein the compact data storage device is a solid state data storage device.

5. The method according to claim 3, wherein the compact data storage device is a solid state data storage device adapted to be removably coupled to the portable audio playback device.

6. A method for storing audio data onto a compact data storage device, the compact memory device adapted to be removably coupled to a handheld

audio playback device, the method comprising the steps of:

encoding audio data in accordance with a selected encoding format to generate an encoded audio data file;

storing, in the compact memory device, the encoded audio data file;

5 storing, in the compact memory device, a decoder file for decoding the encoded audio data file in accordance with the selected encoding format; and

storing, in the compact memory device, an identifier file that indicates the correspondence between the encoded audio data file and the decoder file.

10 7. A portable audio playback apparatus for playing back an audio data file encoded in accordance with a selected one of a plurality of encoding formats, the apparatus comprising:

user input means for receiving user commands;

data input means for receiving digital data;

15 a digital signal processor;

a micro-controller, coupled to the user input means, the data input means, the output means and the digital signal processor, for identifying a user selected audio data file and a decoder file associated with the user selected audio data file and for transferring the user selected audio data file and the associated decoder  
20 file to the digital signal processor in response to a user selection, the digital signal processor decoding the user selected audio data file in accordance with the associated decoder file.

8. The portable audio playback apparatus according to claim 7, further  
25 comprising a solid state data storage device coupled to the micro-controller, the user selected audio data file and the associated decoder file being stored in the solid state data storage device.

9. A portable audio playback system, comprising:

30 user input means for receiving user commands;

data input means for receiving digital data;

a data storage device adapted to be removably coupled to the data input



means;

a digital signal processor; and

a micro-controller, coupled to the user input means, the data input means, and the signal processor, for identifying a selected audio data file and an associated decoder file stored on the data storage device in response to a user input, the micro-controller transferring the selected audio data file and the associated decoder file to the digital signal processor, the digital signal processor decoding the selected audio data file in accordance with the associated decoder file.

10

10. The system according to claim 9, wherein the data storage device comprises a solid state data storage device.

15

11. The system according to claim 10, wherein the data storage device is a compactflash memory card.

20

12. The system according to claim 10, wherein the data storage device includes a configuration file for identifying a plurality of stored audio data files and the decoder file associated with each one of the plurality of stored audio data files.

25

13. A compact memory device for storing digital data, the memory device adapted to be coupled to a handheld audio playback device, the memory device comprising:

a plurality of memory cells, the memory cells having stored therein:

a unique identifier associated with the compact memory device;

an audio data file having audio data encoded in accordance with a selected one of a plurality of encoding formats;

30

a decoder file associated with the selected one of a plurality of encoding formats, the decoder file being adapted to be transferred to a digital signal processor for causing the digital signal processor to decode the audio data file in accordance with the selected one of a plurality of encoding formats; and

an identifier data file for identifying the correspondence between the audio data file and the associated decoder file.

14. The memory device according to claim 13, further comprising a  
5 connector for removably coupling the memory device to the handheld audio playback device.

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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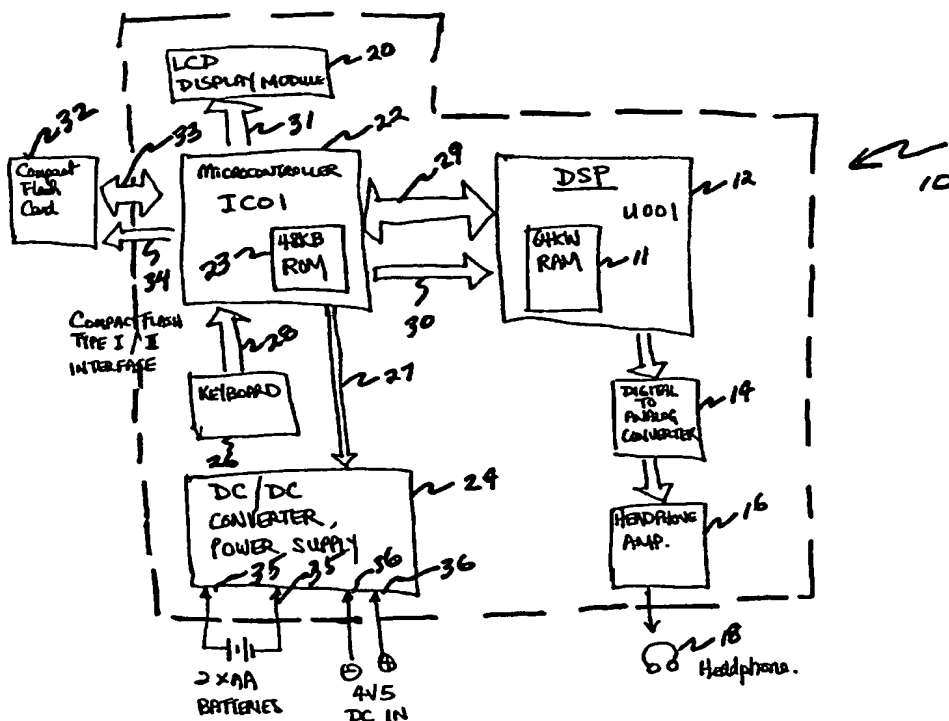
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Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: MULTI-FORMAT PERSONAL DIGITAL AUDIO PLAYER

## (57) Abstract

An apparatus and a method for playing back audio files encoded using one of a plurality of encoding formats, such as MP3 and Real Networks G2. The present invention is a portable audio playback device that allows a user to playback an encoded audio data file, which audio data file has been encoded using a particular encoding format, by transferring the encoded audio data file and an associated decoder file to a digital signal processor in response to a user input. The digital signal processor decodes the encoded audio data file in accordance with the associated decoder file to provide a data file suitable for reproduction. By transferring both the audio data file and the associated decoder file, the present apparatus allows the user to playback a plurality of audio data files encoded using respective ones of a plurality of encoding formats. Advantageously, the audio data files and the decoder files are stored on a solid state data storage medium, such as a compactflash memory card.



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## MULTI-FORMAT PERSONAL DIGITAL AUDIO PLAYER

The present invention relates to an apparatus and a method for processing digitally encoded data, and in particular, to a portable apparatus and a method for processing digitally encoded audio data that has been encoded using a selected one of a plurality of encoding/decoding formats. The present invention is particularly advantageous in handheld applications.

The use of portable audio devices capable of playing digitally encoded data is becoming commonplace. In particular, relatively small handheld devices that can process digitally encoded audio data stored on solid state memory devices are becoming popular. Such portable audio devices include those adapted to play digital data that has been encoded, or compressed, and stored on memory chips included within the audio player or on relatively small removable storage media, such as a compactflash™ memory card. The solid state memory based devices are particularly advantageous for use while exercising, or other physical activity, because such devices are not susceptible to skips and other similar problems associated with moving data storage media, such as CDs.

In a solid state memory based device, the digital audio data is loaded onto the memory device by, for example, downloading the data from the internet or from another digital audio player. The data is usually compressed and loaded onto the memory device through a personal computer having appropriate software loaded thereon. The memory device may also include preloaded audio data.

The data is compressed prior to loading onto the memory device and decompressed during playback according to a selected encoding format. A variety of encoding formats for compressing and decompressing audio data is available. As used hereinafter, the term encoding format refers to any encoding/decoding scheme that specifies the syntax and semantics of a compressed bitstream and how the bitstream must be decompressed for reproduction. Such encoding formats include, but are not limited to, MP3 and Real Networks G2. Additionally, new encoding formats are being developed and

expected to be available in the future.

However, a disadvantage of current portable audio devices that playback digitally encoded audio data is that a user cannot adjust the operation of the portable audio devices to accommodate a variety of encoding formats.

5 Current portable audio devices, particularly handheld devices, are generally designed and manufactured to work with a pre-selected encoding format. As such, the user cannot choose a different encoding format as the need arises. Such a limitation is problematic because it is desirable to be able to playback a variety of audio data files, wherein different audio data files may have been  
10 encoded using different encoding formats. For example, a person's collection may include various audio data files that have been encoded using different encoding formats, certain recordings may only be available in certain encoding formats, and newer encoding formats may make a current encoding format undesirable or obsolete.

15 Therefore, it is desirable to provide a portable playback apparatus and a method for playing back data files, in particular audio data files, encoded using one of a plurality of encoding formats. It is particularly desirable to provide a handheld playback apparatus for playing back audio data files encoded using one of a plurality of encoding formats.

20 It is also desirable to provide a portable playback apparatus and a method for playing back data files, in particular audio data files, encoded using a pre-existing encoding format or an encoding format that may be introduced in the future, without adding additional processing elements. That is, a portable playback apparatus and a method that is both forward and backward compatible  
25 with existing and future data encoding formats.

The present invention overcomes the above-noted limitation of current portable audio players, particularly handheld audio players, by providing a portable audio playback device that allows a user to playback audio data files using appropriate ones of a plurality of encoding formats, including new formats  
30 that become available in the future.

According to the present invention, decoder files are loaded directly onto the memory device along with the audio data file. The decoder files

comprise programs for causing a digital signal processor to process a selected audio data file according to a particular encoding format. When a user selects a particular audio data file, the portable audio player according to the present invention transfers both the selected audio data file and its associated decoder  
5 file to a digital signal processor, and thereafter, the digital signal processor decodes the selected audio data file in accordance with the associated decoder file. In this manner, the present portable audio player can be adapted to playback a variety of audio data files using appropriate ones of a plurality of encoding formats.

10 In one respect, the present invention is a portable audio playback system, comprising: user input means for receiving user commands; data input means for receiving digital data; a data storage device adapted to be removably coupled to the data input means; a digital signal processor; and a micro-  
15 controller, coupled to the user input means, the data input means, and the signal processor, for identifying a selected audio data file and an associated decoder file stored on the data storage device in response to a user input, the micro-controller transferring the selected audio data file and the associated decoder file to the digital signal processor, the digital signal processor decoding the selected audio data file in accordance with the associated decoder file.

20 In another respect, the present invention is, in a portable audio playback device, a method for playing back an audio data file, the audio data file being encoded in accordance with a selected one of a plurality of encoding formats, the method comprising the steps of: identifying a selected audio data  
25 file in response to a user input; identifying a decoder file associated with the selected audio data file; transferring the selected audio data file and the associated decoder file to a digital signal processor; decoding the selected audio data file in accordance with the decoder file in the digital signal processor; and providing the decoded audio data file to an output device.

30 In another respect, the present invention is a method for storing audio data onto a compact data storage device, the compact memory device adapted to be removably coupled to a handheld audio playback device, the method comprising the steps of: encoding audio data in accordance with a

selected encoding format to generate an encoded audio data file; storing, in the compact memory device, the encoded audio data file; storing, in the compact memory device, a decoder file for decoding the encoded audio data file in accordance with the selected encoding format; and storing, in the compact  
5 memory device, an identifier file that indicates the correspondence between the encoded audio data file and the decoder file.

The present invention is described in more detail with reference to the figures, wherein:

10 Fig. 1 is a block diagram of a portable audio device according to the present invention;

Figs. 2A-B illustrate a handheld audio apparatus according to the present invention;

15 Figs. 3-4 are flowcharts illustrating the steps for playing back an audio track using a portable audio device according to the present invention; and

Fig. 5 is a memory map diagram illustrating the various data blocks that are loaded into and processed within the DSP.

#### Detailed Description of an Exemplary Embodiment

20 Fig. 1 shows a block diagram of portable audio device 10 according to the present invention. The arrangement and operation of the various elements are described hereinbelow. However, the details of the various elements of audio device 10 are well known to those skilled in the art and will not be discussed here. Audio device 10 comprises micro-controller 22 that controls the various  
25 elements and the overall operation of audio device 10, including the transferring data from memory card 32 to DSP 12. Micro-controller 22 includes a suitable amount of memory 23, in this case 48 KB of ROM, which includes various instruction sets, decryption programs, key files and a security code for controlling the operation of audio player 10 stored thereon. Suitable micro-  
30 controllers include, but are not limited to,  $\mu$ PC78A4036 manufactured by NEC Corporation.



Audio device 10 also includes digital signal processor ("DSP") 12 that may be programmed to perform a variety of signal processing functions during playback of a selected audio data file. In this case, the functions that DSP 12 performs during playback includes, but is not limited to, decrypting a decryption program using a security code, decrypting a decoder file with the decryption program using a first key, decrypting an audio data file with the decryption program using a second key and a unique identifier associated with memory card 32, and decoding the audio data file using the decoder file. Other functions include volume control, digital sound equalizer, and sample conversion. In that regard, DSP 12 includes on-board memory 11, in this case 64 KW of RAM, wherein the decryption program, key files, security code, decoder files and audio data files, and various other required data are loaded during playback.

The decoder files comprise programs that control the decoding operations of DSP 12 and the audio data files include data associated with the audio content. Both the audio data files and the decoder files are stored in memory card 32 in encrypted form. The decoder file is transferred to DSP RAM 11 from memory card 32 and decrypted as described further below. Suitable DSP units, include, but are not limited to, TMS320NC5410 manufactured by Texas Instruments, Inc. of Dallas, Texas.

As a selected audio data file is decoded, DSP 12 provides the decoded data stream to digital to analog converter 14. D/A converter 14 converts the digital output of DSP 12 into an analog signal and provides the analog signal to headphone amplifier 16. The analog signals are amplified by headphone amplifier 16 and provided to headphone 18 via output jacks disposed on the housing of audio player 10.

In the preferred embodiment, audio player 10 is adapted to operate with memory card 32. Memory card 32 is a solid state data storage device that can be used to store various data files, including encoded audio data files and decoder files for controlling the decoding operation of DSP 12. Memory card 32 may be removably coupled to audio player 10 via memory card port 38 and a large amount of data can be readily transferred from memory card 32 to audio player 10 through data bus 33. The solid state nature of memory card 32 allows

large amount of data to be transferred to audio player 10 without skips and other similar disadvantages associated with media, such as CDs, that require precise moving parts. Suitable memory cards include, but are not limited to, CompactFlash™ type I and II of the CompactFlash Association (CFA) standard (IDE Mode). Also, hard drive type media, such as Microdrive™ manufactured by IBM Corporation of Armonk, New York, may be used.

Using the present invention, several decoder files and relatively large amounts of audio data can be stored on currently available flash memory cards. For example, a 64 MB compactflash™ card provides approximately 68 minutes of audio at MP3 bit-rate of 128 Kbps, wherein approximately 60-70KB are required for the decoder programs and approximately 1MB is required for each minute of music output.

Audio data files and associated decoder files are loaded onto memory card 32 using a PC, or other similar device, having music management software loaded thereon. The music management software is designed to load the desired audio data files along with the associated decoder files onto memory card 32. The music management software is implemented using programming methods known in the art. Alternatively, the required data may be downloaded through another source, for example, through the Internet or another player, wherein the downloaded data includes the desired audio data files and their associated decoder files.

Keyboard 26 comprises a plurality of keys disposed on the housing of audio player 10 for allowing a user to select a particular audio data file for playback and to control playback settings. LCD display module 20 is coupled to micro-controller 22 and provides a listing of the selections available on memory card 32 and also status information regarding audio player 10.

Figs. 2A-B illustrate the displays and buttons disposed on the housing of audio player 10. As shown in Fig. 2A, audio player 10 includes display 21 that displays the audio data files stored on memory card 32 and various status information associated with audio player 10. PLAY button 46 allows a user to begin play of a selected data file. STOP/OFF button 42 allows a user to stop play and turn audio player 10 OFF. SKIP/FOR. button 44 and

SKIP/REV. button 43 allow a user to scroll between different audio data files displayed on display 21. Mode button 45 allows a user to a particular playback mode, including NORMAL, REPEAT PLAYBACK and SHUFFLE. DSP button 40 allows a user to select one of a plurality of DSP modes, including FLAT, BASS, BOOST, ROCK, POP, JAZZ, and EQ. Fig. 2B shows memory card port 38 having memory card 32 inserted therein, and ejector lever 47 for ejecting memory card 32 from memory card port 38.

After insertion of memory card 32 into memory card port 38 of audio player 10 and prior to beginning playback, micro-controller 22 reads memory card 32, in particular a configuration file stored therein, to identify and display the audio data files stored on memory card 32, and their associated encoding formats. When the user selects a particular audio data file for playback, micro-controller 22 loads the selected audio data file and the appropriate decoder file into DSP RAM 11, wherein DSP 12 decrypts both the data and decoder files, and then decodes the selected audio data file using the decoder file. Thus, the decoder files on memory card 32 allow audio player 10 to be adapted to process the various encoding formats associated with the audio data files stored on memory card 32. In effect, portable audio player 10 is software upgraded, as necessary, by the decoder files stored on memory card 32 when the user selects a particular audio data file stored on memory card 32. The steps associated with processing a selected audio data file from memory card 32 using audio player 10 is shown in the flowcharts of Figs. 3 and 4, and described below.

Figs. 3 and 4 show flowcharts illustrating the steps for processing a selected audio data file in accordance with the present invention. After powering UP in step 100, micro-controller 22 of audio player 10 checks for the presence of memory card 32 in flash card port 38 in step 102. If memory card 32 is not detected in flash card port 38, audio player 10 powers DOWN in step 104. If memory card 32 is detected, audio player 10 turns ON the power to memory card 32 in step 106.

In step 108, micro-controller 22 transfers the decryption program stored in micro-controller ROM 23 to DSP RAM 11. In step 110, micro-controller

22 issues a diagnostic check command to cause memory card 32 to perform a set of self-diagnostic checks. If memory card 32 is determined to be in a faulty condition in step 112, micro-controller 22 indicates that an error condition exists and powers DOWN memory card 32 in step 114.

5           If memory card 32 is determined to be in an acceptable condition, micro-controller 22 reads the unique ID number embedded in memory card 32. In step 116, micro-controller 22 transfers the unique ID number of memory card 32, and a private key file and a security code stored in micro-controller ROM 23 to DSP RAM 11. Following this transfer, in step 118, DSP 12 decrypts the  
10       decryption program using the security code. The decryption program specifies certain keys using a pointer that specifies the memory location of DSP RAM 11 wherein the desired keys are stored, from the key file to be used during subsequent decryption operations as noted below.

          In step 120, micro-controller 22 determines whether a configuration  
15       file is present in memory card 32. In step 122, if a configuration file is not found, micro-controller 22 causes an error indication to be displayed on display 21 and powers DOWN memory card 32. In step 124, if a configuration file is present, micro-controller 22 reads the configuration file and identifies the various file formats that need to be supported for the data files stored on memory card  
20       32. The configuration file also includes information that equates the file extension of the audio data files with particular decoder files stored in memory card 32. In step 126, micro-controller 22 reads the file attribute table stored on memory card 32 and causes display 21 to display the file/folders stored in memory card 32. At this point, the user may select a desired one of the  
25       displayed audio data files, or music tracks, for playback.

          When an audio data file is selected for playback in step 128, micro-controller 22 and DSP 11 perform a number of steps, including several concurrent steps to provide audio playback. First, micro-controller 22 identifies and transfers the corresponding decoder file from memory card 32 to DSP RAM  
30       11 in step 130. For example, if the user selects an MP3 file, micro-controller 22 transfers the MP3 decoder file from memory card 32 to DSP RAM 11. At this point, the MP3 decoder file is encrypted and must be decrypted before it can be

used to control the decoding operation of DSP 12. In step 131, DSP 12 decrypts the decoder file loaded in DSP RAM 11 using the decryption program that was decrypted in step 118 and a first key in the key file specified by the decryption program.

5           In step 134, micro-controller 22 begins streaming the selected audio data file from memory card 32 to DSP 12. In step 132, DSP 12 uses the decryption program to decrypt the streaming audio data file. An additional security layer with regard to the decryption of the audio data file is provided as follows. A second key from the private key file that is specified by the  
10       decryption program and the unique ID number of memory card 32 are used to generate a final key, wherein the final key is used by the decryption program to decrypt the audio data file.

          The music management software is designed to use the unique ID number of memory card 32 to encrypt the audio data file when the audio data  
15       file is stored in memory card 32. As such, the use of the unique ID number of memory card 32 to generate the final key prevents audio player 10 from playing an audio data file that was copied from another memory card. In step 133, DSP 12 uses the decrypted decoder file to decode the decrypted audio data file in accordance with the appropriate encoding format. The decoded audio data is  
20       provided to D/A converter 14 and headphone amp 16 for reproduction.

          In step 136, it is determined whether all of the data in the selected audio data file has been transferred to DSP RAM 11. If not, micro-controller 22 continues to stream data from memory card 32 to DSP RAM 11. If the transfer of data is complete as determined in step 136, micro-controller 22 determines in  
25       step 140 whether the next audio data file is encoded using the same format as the previous audio data file. If the encoding format of the next audio data file is the same as the previous encoding format, micro-controller 22 returns to step 134 and starts streaming the data from the next audio data file, which data is subsequently decrypted and decoded in steps 132 and 133 as before.

30           If the encoding format of the next audio data file differs from the encoding format of the previous audio data file, micro-controller 22 returns to step 130. In this case, a new decoder file associated with the next audio data

file is transferred to DSP RAM 11, and the steps of decrypting the decoder file, streaming the audio data file, decrypting the data file, and decoding the data file using the newly loaded decoder file is repeated. In this manner, audio player 10 is able to playback audio data files encoded using any one of a plurality of  
5 encoding formats, as long as the decoder file associated with the selected encoding format is available and can be downloaded onto DSP RAM 11. In the present embodiment, the necessary decoder files are stored in memory card 32 along with the audio data files. As such, audio player 10 can be updated to play different encoding formats by software updating of the DSP via decoder files  
10 stored along with the audio data files in memory card 32. Thus, audio player 10 is capable of playing back data files encoded using a variety of encoding formats, including encoding formats that become available in the future.

In the present invention, audio data files are loaded onto memory card 32 using music management software that encodes the audio data files in  
15 accordance with a selected encoding format, such as MP3, encrypts the encoded data files, and then stores the encrypted, encoded data files. Various encryption and decryption methods known to those skilled in the art for generating an encrypted file using a selected key, and then decrypting the encrypted file using the selected key may be used. In the present invention, the decoder files are  
20 encrypted using a first key, and the audio data files are encrypted using another key that is generated using the unique identifier on memory card 32. The music management software stores the encrypted audio data files and appropriate encrypted decoder files onto memory card 32. The music management software also generates, and modifies as necessary, a configuration file and a file attribute  
25 table to provide information regarding the various data files and decoder files stored on memory card 32. Using the configuration file and the file attributes table, audio player 10 is able to determine the correct encoding format for each content file, display the available file on display 21 and download appropriate decoder file for each content file in response to a user selection.

30 Fig. 4 is a memory map associated with DSP RAM 11 illustrating the various data files that are transferred to DSP RAM 11 and interactions therebetween. Initially, the unique ID number associated with memory card 32 is

stored in memory portion 49. The security code and private key files that are stored in micro-controller ROM 23 are transferred to DSP RAM 11 and stored in respective memory portions 50 and 51. Also, the decryption program is read into memory portion 52 from micro-controller ROM 23. The decoder file  
5 associated with a selected audio data file is stored in memory portion 54, and the audio data files are stored in memory portion 56. It is to be understood that the transfer of the various data blocks and the actual arrangement of the data blocks in DSP RAM may be programmed as desired using any one of programming techniques known to those skilled in the art.

10 As described above, the security code in block 50 is used to decrypt the decryption program in block 52. The decryption program is used to decrypt the decoder file in block 54 and the audio data file in block 56. The decryption program includes a pointer that is used to specify a first key to be used during decryption of the decoder file. Also, a second key specified by the decryption  
15 program and the unique ID number of memory card 32 in block 49 are used to generate a final key, and the final key is used by the decryption program to decrypt the selected audio data file. The decoder file is then used to decode the decrypted audio data file. It can be seen that different keys may be selected in the private key file by changing the pointers to the memory locations where the  
20 private key file is stored or by changing the locations where the private key file is stored.

It will be apparent to those skilled in the art that although the present invention has been described in terms of an exemplary embodiment, modifications and changes may be made to the disclosed embodiment without  
25 departing from the essence of the invention. For example, although the present invention has been described with reference to a memory card that is adapted to be removably coupled to audio player 10, the present invention may be implemented using a memory chip that is fixedly disposed within audio player 10, wherein the decoder program and audio data files are loaded onto the fixed  
30 memory chip by the music management software. Also, it is herein recognized that the present feature of loading the appropriate decoder programs and the audio data files may be implemented in the music management software using

any one of a number of conventionally known programming methods, or combination of programming methods. Also, although the above is described in reference to an audio data player, the present invention may be extended to any portable data processing device, for example, video display devices, wherein the  
5 data may be encoded using one of a plurality of data encoding formats. Also, the audio data files and decoder files may be stored and read from magnetic or optical media. Therefore, it is to be understood that the present invention is intended to cover all modifications as defined in the appended claims.



**WHAT IS CLAIMED:**

1. In a portable audio playback device, a method for playing back an audio data file, the audio data file being encoded in accordance with a selected one of a plurality of encoding formats, the method comprising the steps of:

5 identifying a selected audio data file in response to a user input;  
identifying a decoder file associated with the selected audio data file;  
transferring the selected audio data file and the associated decoder file to a digital signal processor;

10 decoding the selected audio data file in accordance with the decoder file in the digital signal processor; and  
providing the decoded audio data file to an output device.

2. The method according to claim 1, further comprising the step of

15 reading a configuration file that associates each one of a plurality of audio data files with a particular one of a plurality of decoder files, and the identifying the decoder file step comprises identifying the decoder file using the configuration file.

20 3. The method according to claim 1, wherein the transferring step comprises transferring the selected audio data file from a compact data storage device to the digital signal processor.

25 4. The method according to claim 3, wherein the compact data storage device is a solid state data storage device.

5. The method according to claim 3, wherein the compact data storage device is a solid state data storage device adapted to be removably coupled to the portable audio playback device.

30 6. A method for storing audio data onto a compact data storage device, the compact memory device adapted to be removably coupled to a handheld

audio playback device, the method comprising the steps of:

encoding audio data in accordance with a selected encoding format to generate an encoded audio data file;

storing, in the compact memory device, the encoded audio data file;

5 storing, in the compact memory device, a decoder file for decoding the encoded audio data file in accordance with the selected encoding format; and

storing, in the compact memory device, an identifier file that indicates the correspondence between the encoded audio data file and the decoder file.

10 7. A portable audio playback apparatus for playing back an audio data file encoded in accordance with a selected one of a plurality of encoding formats, the apparatus comprising:

user input means for receiving user commands;

data input means for receiving digital data;

15 a digital signal processor;

a micro-controller, coupled to the user input means, the data input means, the output means and the digital signal processor, for identifying a user selected audio data file and a decoder file associated with the user selected audio data file and for transferring the user selected audio data file and the associated decoder  
20 file to the digital signal processor in response to a user selection, the digital signal processor decoding the user selected audio data file in accordance with the associated decoder file.

8. The portable audio playback apparatus according to claim 7, further  
25 comprising a solid state data storage device coupled to the micro-controller, the user selected audio data file and the associated decoder file being stored in the solid state data storage device.

9. A portable audio playback system, comprising:

30 user input means for receiving user commands;

data input means for receiving digital data;

a data storage device adapted to be removably coupled to the data input

means;

a digital signal processor; and

a micro-controller, coupled to the user input means, the data input means, and the signal processor, for identifying a selected audio data file and an  
5 associated decoder file stored on the data storage device in response to a user input, the micro-controller transferring the selected audio data file and the associated decoder file to the digital signal processor, the digital signal processor decoding the selected audio data file in accordance with the associated decoder file.

10

10. The system according to claim 9, wherein the data storage device comprises a solid state data storage device.

15

11. The system according to claim 10, wherein the data storage device is a compactflash memory card.

20

12. The system according to claim 10, wherein the data storage device includes a configuration file for identifying a plurality of stored audio data files and the decoder file associated with each one of the plurality of stored audio data files.

25

13. A compact memory device for storing digital data, the memory device adapted to be coupled to a handheld audio playback device, the memory device comprising:

a plurality of memory cells, the memory cells having stored therein:  
a unique identifier associated with the compact memory device;  
an audio data file having audio data encoded in accordance with a selected one of a plurality of encoding formats;

30

a decoder file associated with the selected one of a plurality of encoding formats, the decoder file being adapted to be transferred to a digital signal processor for causing the digital signal processor to decode the audio data file in accordance with the selected one of a plurality of encoding formats; and

an identifier data file for identifying the correspondence between the audio data file and the associated decoder file.

14. The memory device according to claim 13, further comprising a  
5 connector for removably coupling the memory device to the handheld audio playback device.

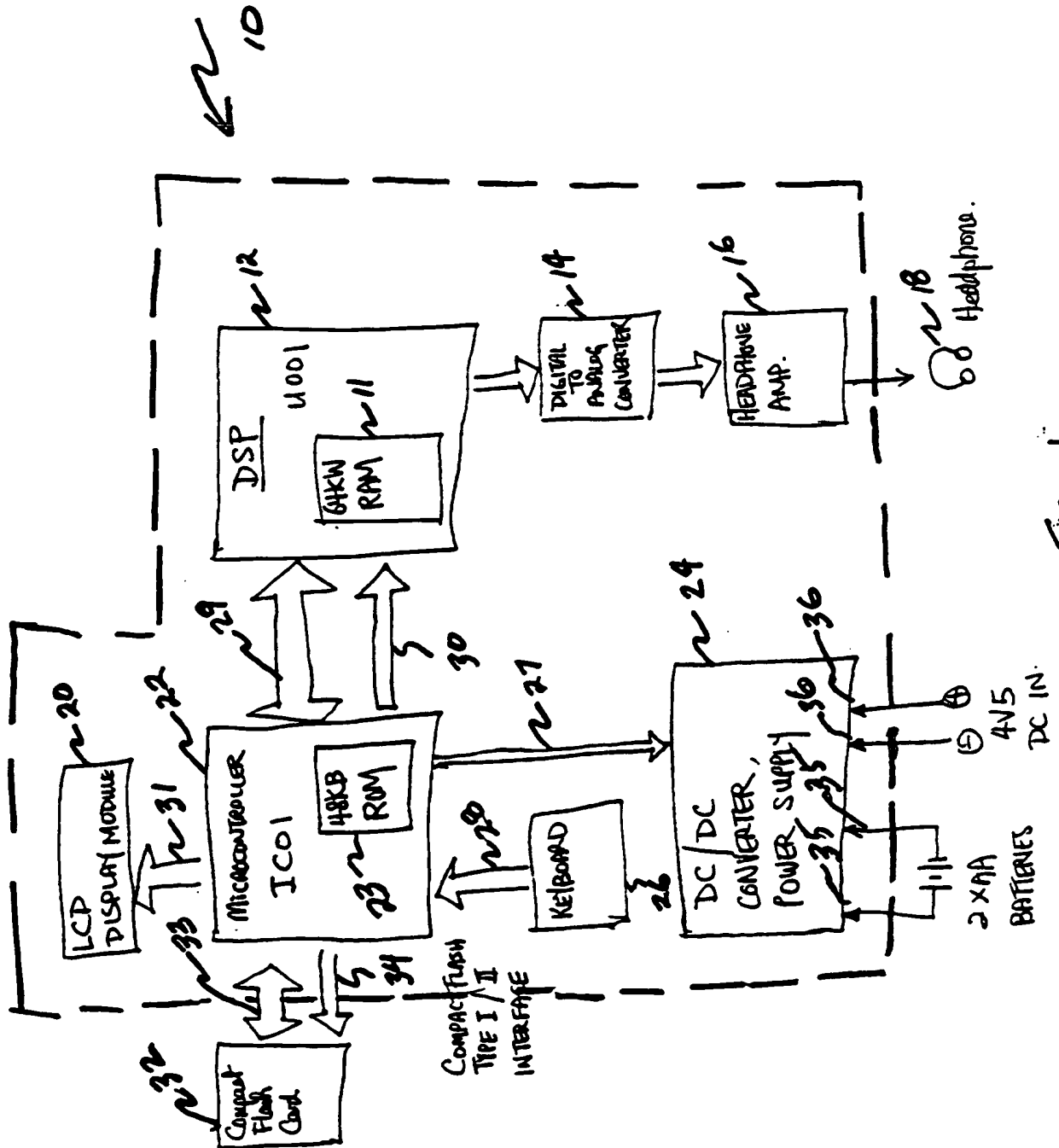


Fig. 1

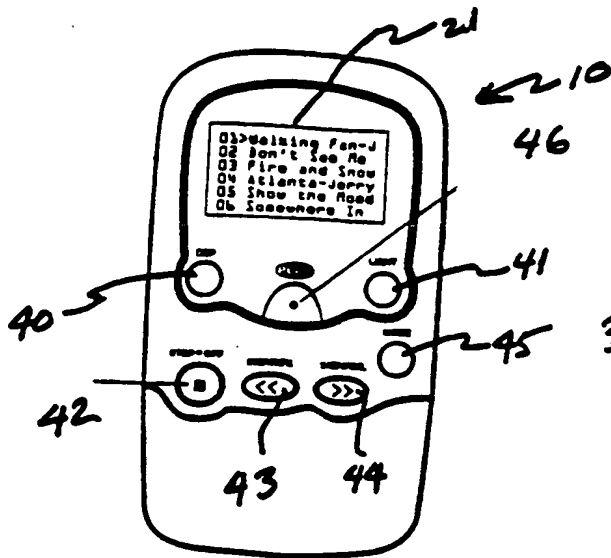


Fig.  
2A

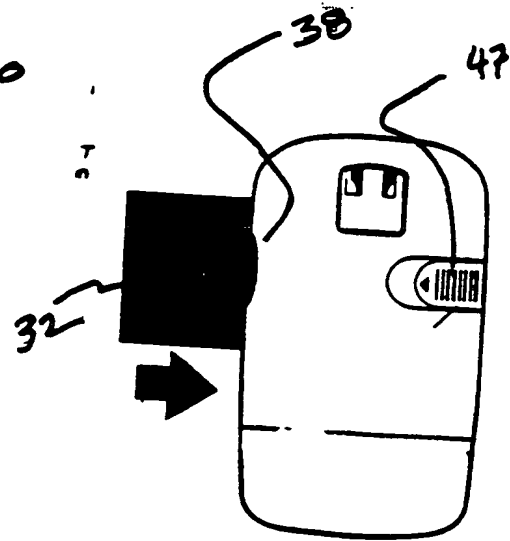


Fig.  
2B

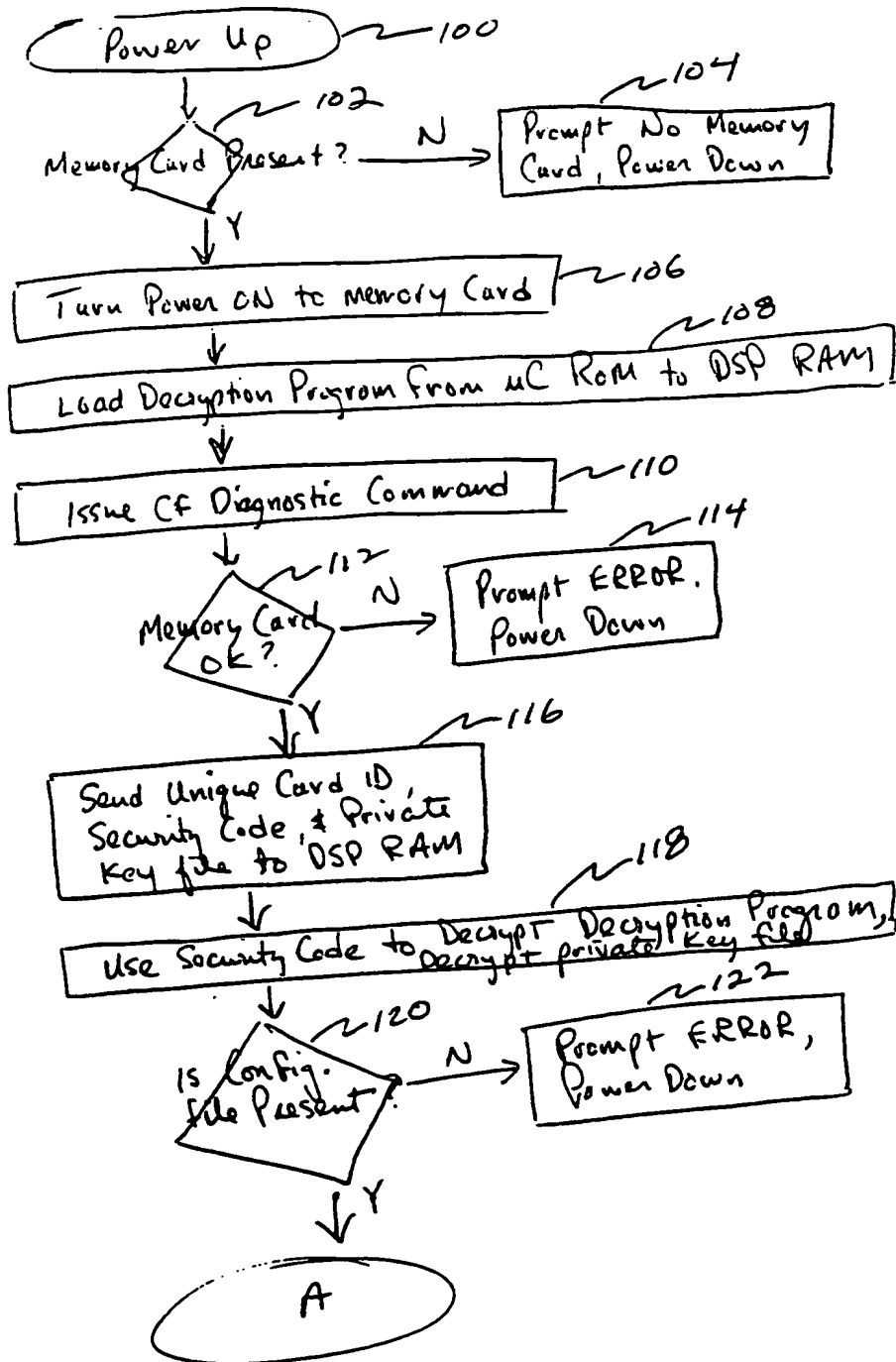


Fig 3

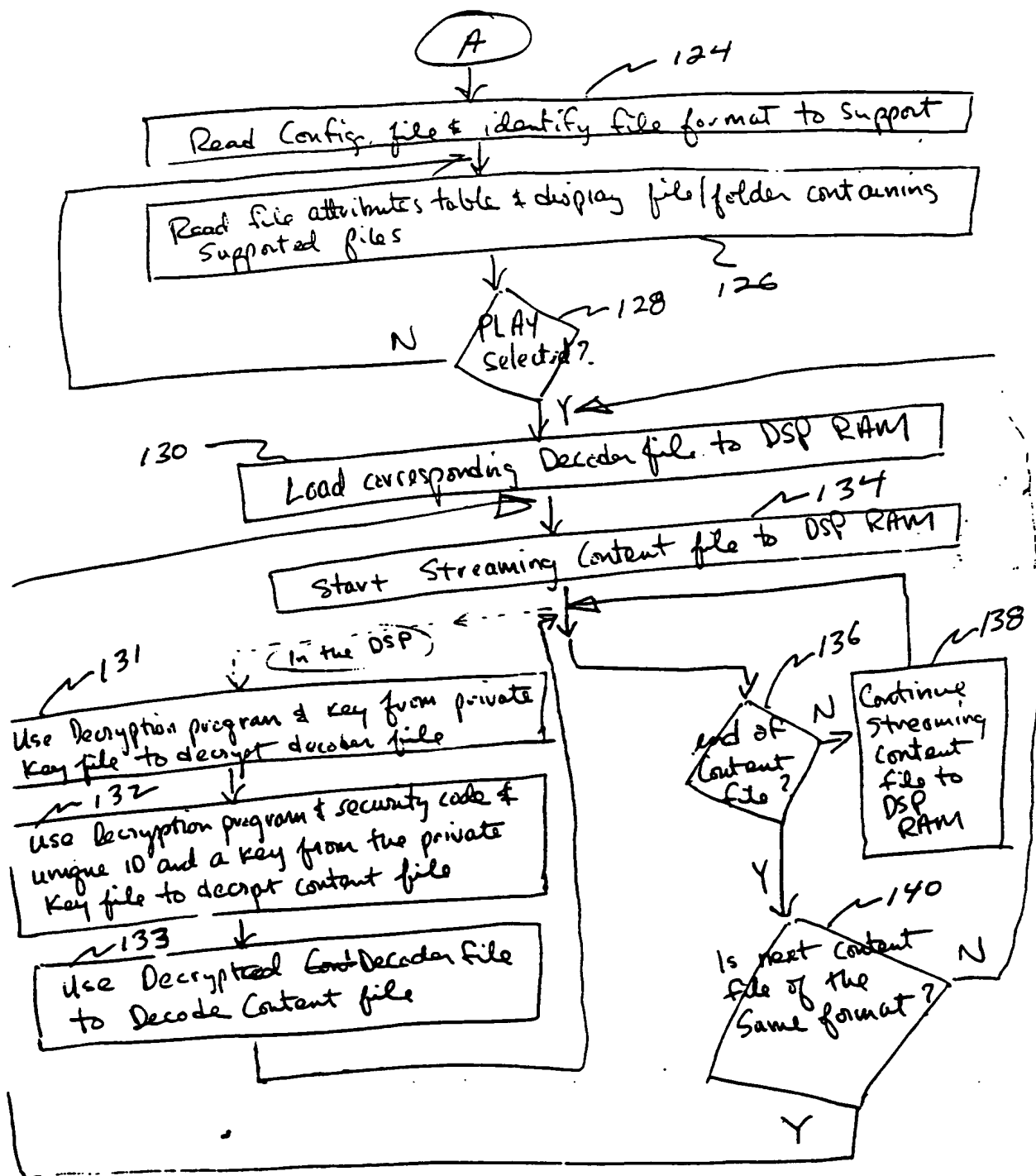


Fig 4



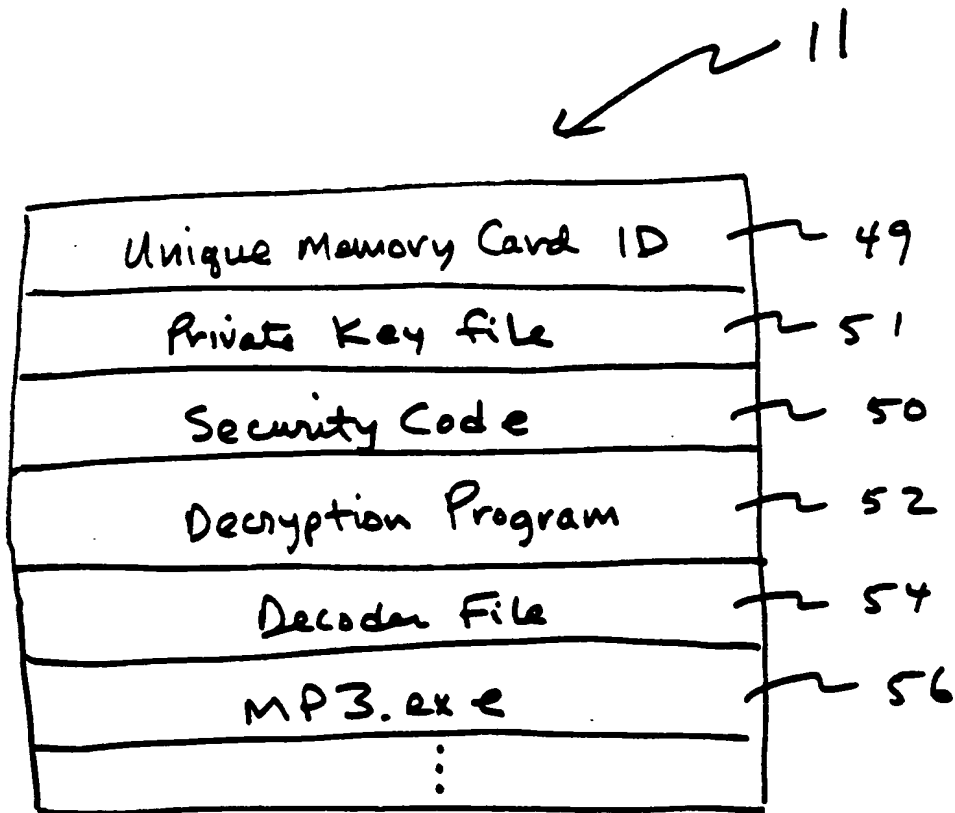


Fig. 5

# INTERNATIONAL SEARCH REPORT

Int. Patent Application No.

PCT/US 00/11610

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G11C7/16 G06F12/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G11C G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 570 147 A (OLIVETTI & CO SPA) 18 November 1993 (1993-11-18) page 6, column 30 -page 7, column 14 ---	1,6,7,9, 13
A	WO 97 33220 A (PHILIPS ELECTRONICS NV ;PHILIPS NORDEN AB (SE)) 12 September 1997 (1997-09-12) the whole document -----	1,6,7,9, 13

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
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- "&" document member of the same patent family

Date of the actual completion of the international search

29 August 2000

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

Int. Joint Application No

PCT/US 00/11610

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